

Cerling *et al.* reply — Köhler *et al.* suggest that phenomena other than floral change may be involved in the late Miocene global vegetation change, such as monsoonal dynamics or unnamed “other factors”. Citing evidence from Spain and Pakistan, they do not believe that there is necessarily a synchronicity or a causal link between faunal and vegetation change in the late Miocene epoch. However, on the contrary, it seems highly unlikely that a vegetation change on the scale documented<sup>1</sup> would be uncorrelated with faunal change.

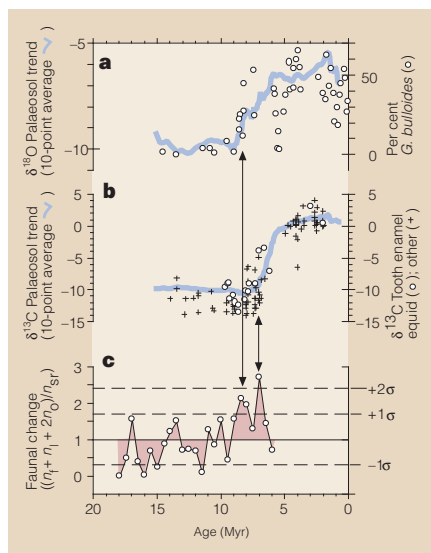
Widespread faunal change in the late Miocene epoch was recognized<sup>7,10–12</sup> long before the carbon-isotope shift was identified; our work was the first to attempt to link these widespread faunal changes to global vegetation change<sup>1,13</sup>. For example, in North America, Webb *et al.*<sup>14</sup> state that the “boundary between the Early and Late Hemphillian (about 6 Myr ago) records a mass extinction event for equids, when about ten of the existing 18 lineages vanished”. Although it is difficult to ‘prove’ causality in historical events, it seems likely that widespread faunal changes are linked to widespread vegetation changes.

The data from the Siwalik sediments in Pakistan are especially informative, because only from this region are there coeval data on faunal turnover, isotope palaeoecology, and upwelling related to monsoon dynamics (Fig. 1). Smoothed palaeosol data for carbon-13 content ( $\delta^{13}\text{C}$ ) show a sharp change starting about 7 Myr ago and continuing to about 5 Myr ago, denoting the shift from  $\text{C}_3$ - to  $\text{C}_4$ -dominated vegetation.

The  $\delta^{13}\text{C}$  data for tooth enamel show that the dietary change, which enhances the  $\text{C}_3$  or  $\text{C}_4$  signal by selective feeding, can be seen somewhat earlier than in the palaeosols, a result to be expected. Smoothed  $\delta^{18}\text{O}$  data from palaeosols indicates a change in soil waters that precedes the  $\delta^{13}\text{C}$  shift and which is correlated with increased abundance of upwelling indicators in the Arabian Sea at about 8.5 Myr ago.

Therefore the isotope record in the Siwaliks records two signals: a change in monsoonal dynamics at about 8.5 Myr ago and a pronounced vegetation change at about 7 Myr ago. Detailed faunal collections from the same region document several important turnover events. The two biggest events are at about 7 and 8.5 Myr ago (Fig. 1) and correspond to the two periods of change recorded in the isotope record.

Although the record is indeed complicated, the stable isotope record documents two important events affecting faunal change in the Siwaliks: one starting about 8.5 Myr ago that is related to the monsoon intensification, and a slightly later event related to expansion in  $\text{C}_4$  biomass. Earlier faunal changes, such as those before 10 Myr ago as mentioned by Köhler *et al.*, are unre-



**Figure 1** Data from Pakistan's Siwalik sediments show the two biggest events occurring at about 7 and 8.5 Myr ago. **a**,  $\delta^{18}\text{O}$  data from Siwalik palaeosols, representing a trend determined by taking a 10-point running average of the roughly 200 palaeosols from the interval 16 to 0 Myr ago<sup>16</sup>. Also shown is the fraction of *Globigerina bulloides* from the Arabian Sea, an indicator of upwelling related to monsoon dynamics<sup>17</sup>. **b**,  $\delta^{13}\text{C}$  data for palaeosols and for mammals' tooth enamel<sup>18–20</sup> in the Siwaliks, representing a trend determined by taking a 10-point running average of the 200 or so palaeosols from the interval 16 to 0 Myr ago<sup>16</sup>. **c**, Faunal change index from the Siwaliks, represented by the number of first ( $n_l$ ) and last ( $n_s$ ) occurrences, including only occurrences ( $n_s$ ), normalized to species richness ( $n_{st}$ ). Data from ref. 7. The index is normalized to 1.0 for the total data set.

lated to the global expansion of  $\text{C}_4$  biomass.

$\text{C}_4$  photosynthesis is an adaptation to low atmospheric  $\text{CO}_2$  levels. Because  $\text{CO}_2$  gain and water loss both occur through stomata in  $\text{C}_3$  plants, we expect that  $\text{C}_3$  plants adapted to aridity would prosper in periods of lower atmospheric  $\text{CO}_2$ . We would therefore expect that global changes within  $\text{C}_3$  flora accompanied the  $\text{C}_4$  expansion at the end of the Miocene epoch. Changes within  $\text{C}_3$  ecosystems can be related to changes in atmospheric  $\text{CO}_2$  levels (for example, the Pleistocene/Holocene transition<sup>15</sup>).

So, although  $\text{C}_4$  plants did not flourish in Europe or in other high-latitude regions, it is likely that floral change occurred in those regions within  $\text{C}_3$  ecosystems through the Miocene/Pliocene transition. The absence of evidence for  $\text{C}_4$  expansion in Europe should not be taken to mean that floral change did not take place in Europe at the end of the Miocene; the isotope record is silent on that issue.

**Thure E. Cerling**

Department of Geology, University of Utah, Salt Lake City, Utah 84112, USA

**John M. Harris**

Page Museum, Los Angeles, California 90036, USA

**Bruce J. MacFadden**

Florida Museum of Natural History, Gainesville, Florida 32611, USA

**Jay Quade**

University of Arizona, Tucson, Arizona 85721, USA

**Meave G. Leakey**

National Museums of Kenya, Nairobi, Kenya

**Vera Eisenmann**

Musé National d'Histoire Naturelle, 75005 Paris, France

**James R. Ehleringer**

Department of Biology, University of Utah, Salt Lake City, Utah 84112, USA

1. Cerling, T. E. *et al.* *Nature* **389**, 153–158 (1997).
2. Agustí, J. *et al.* *J. Hum. Evol.* **31**, 143–155 (1996).
3. Garcés, M., Cabrera, L., Agustí, J. & Parés, J. M. *Geology* **25**, 19–22 (1997).
4. Garcés, M., Agustí, J., Cabrera, L. & Parés, J. M. *Earth Planet. Sci. Lett.* **142**, 381–396 (1996).
5. Moyà Solà, S. & Agustí, J. in *European Neogene Mammal Chronology* (eds Lindsay, E. H. *et al.*) 357–373 (Plenum, New York, 1990).
6. Johnson, N. M., Opdyke, N. D., Johnson, E. D., Lindsay, E. H. & Tahirikheli, R. A. *Palaeogeogr. Palaeoclimatol. Palaeoecol.* **37**, 17–44 (1982).
7. Barry, J. *et al.* *Palaeogeogr. Palaeoclimatol. Palaeoecol.* **115**, 209–226 (1995).
8. Barry, J. in *Paleoclimate and Evolution* (eds Vrba, E., Denton, G. H., Partridge, T. C. & Burckler, L. H.) 115–134 (Yale Univ. Press, New Haven, 1995).
9. Cande, S. C. & Kent, D. V. *J. Geophys. Res.* **97**, 13917–13951 (1992).
10. Webb, S. D. *Annu. Rev. Ecol. Syst.* **9**, 393–426 (1977).
11. Janis, C. M. *Annu. Rev. Ecol. Syst.* **24**, 467–500 (1993).
12. Barry, J. *et al.* *Geology* **13**, 637–640 (1985).
13. Cerling, T. E., Ehleringer, J. R. & Harris, J. M. *Proc. R. Soc. Lond.* (in the press).
14. Webb, S. D., Hulbert, R. C. & Lambert, W. D. in *Paleoclimate and Evolution, with Emphasis on Human Origins* (eds Vrba, E. *et al.*) (Yale Univ. Press, New Haven, 1995).
15. Jolly, D. & Haxeltine, A. *Science* **276**, 786–788 (1997).
16. Quade, J. & Cerling, T. E. *Palaeogeogr. Palaeoclimatol. Palaeoecol.* **115**, 91–116 (1995).
17. Kroon, D., Steens, T. & Troelstra, S. R. *Proc. ODP Sci. Res.* **117**, 257–263 (1991).
18. Cerling, T. E., Wang, Y. & Quade, J. *Nature* **361**, 344–345 (1993).
19. Morgan, M. E., Kingston, J. D. & Marino, B. D. *Nature* **367**, 162–165 (1994).
20. Stern, L. A., Johnson, G. D. & Chamberlain, C. P. *Geology* **22**, 419–422 (1994).

## Life-support system benefits from noise

Mechanical ventilators are used to provide life support for patients with respiratory failure. But over the long term, these machines can damage the lungs, causing them to collapse and the partial pressure of oxygen in the arteries to drop to abnormally low values<sup>1</sup>. In conventional mechanical ventilation, the respiratory rate and volume of air inspired per breath are fixed, although during natural breathing these parameters vary appreciably<sup>2</sup>. A computer-controlled ventilator has now been introduced<sup>3</sup> that can use noise to mimic this variability. We describe a conceptual model of lung injury in which the partial pressure of arterial oxygen is improved significantly by computer-controlled rather than conventional mechanical ventilation, in agreement with recent experimental data<sup>3</sup>.

To explain how variability can improve the arterial partial pressure of oxygen ( $pO_2$ ), consider the pressure–volume ( $P$ – $V$ ) behaviour of an injured lung that is being mechanically ventilated with many peripheral airways closed, thereby creating large collapsed regions. Let  $\alpha$  represent a fraction of the lung that is collapsed at the end of expiration. An uncollapsed lung will be ventilated according to a ‘normal’ nonlinear  $P$ – $V$  relation<sup>4</sup> (see the normalized  $P$ – $V$  curve in Fig. 1a, labelled  $\alpha = 0$ ). Collapsed regions, however, significantly alter the  $P$ – $V$  curve<sup>5</sup>.

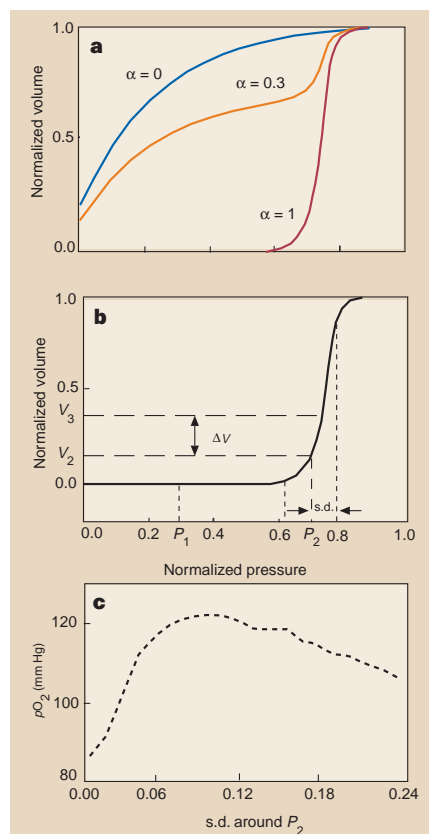
The limiting case of  $\alpha = 1$  in Fig. 1a shows a model  $P$ – $V$  curve for the first inflation of a completely collapsed lung, where  $V$  is proportional to  $P^N$  ( $N$  ranges from 10 to 16)<sup>6</sup>. When  $\alpha$  is between 0 and 1, the  $P$ – $V$  curve of the entire lung ( $\alpha = 0.3$ ) will be a combination of the ‘normal’ curve and the  $P^N$  curve. Thus, for  $P$  values below 0.75, the highly nonlinear  $P^N$  term dominates, whereas, for  $P$  values above 0.75, the contribution of the ‘normal’  $P$ – $V$  curve leads to flattening of the  $P$ – $V$  relation.

In conventional mechanical ventilation,  $P$  increases from end-expiratory pressure  $P_{exp} = P_1$  (say  $P_1 = 0.3$ ) to a fixed end-inspiratory pressure  $P_{ins} = P_2$  (say  $P_2 = 0.7$ ). The corresponding opened volume in the collapsed region increases from  $V_1$  to  $V_2$ . We mimic variability in breathing by adding noise to  $P_2$  so that  $P$  increases from  $P_1$  to  $P_{ins} = P_2 + \eta$ , where  $\eta$  is a random variable changing from breath to breath and is taken from a zero-mean gaussian distribution (Fig. 1b).

Suppose that, for one inflation,  $P$  increases to  $P_{ins} = 0.75$  rather than to 0.7. This results in gaining recruited volume compared with  $P_{ins} = 0.7$ . Suppose now that for the next inflation,  $P$  increases to only  $P_{ins} = 0.65$ , losing some recruited volume. Owing to the strong nonlinearity ( $P^N$ ) of the  $P$ – $V$  curve, the ‘gain’ of volume for  $P_{ins} > P_2$  is far greater than the ‘loss’ of volume for  $P_{ins} < P_2$ . When  $P_{ins}$  samples the gaussian around  $P_2$  many times, the mean of  $P_{ins}$  will be  $P_2$ , but the mean of the distribution of the recruited volumes will increase from  $V_2$  to  $V_3$ . The quantity  $\Delta V = V_3 - V_2$  represents the net improvement, which is more than 240%.

Hence surface area for gas exchange in the collapsed region increases, leading to an increase in arterial  $pO_2$ . In addition, as lung injury progresses,  $\alpha$  increases, and the  $P$ – $V$  curve of the entire lung gradually shifts towards the  $P^N$  limit. Therefore, with increasing  $\alpha$ , adding noise to ventilation should increasingly improve the arterial  $pO_2$ , a prediction that is consistent with experiments<sup>3</sup>.

The process of varying  $P$  around  $P_2$  is analogous to the noise-enhanced amplification of a useful signal in a system by stochastic resonance<sup>7</sup>. In stochastic resonance, increasing the standard deviation (s.d.) of the noise in a nonlinear system will initially amplify a weak input so as to increase the



**Figure 1** Variability improves arterial partial pressure of lung oxygen. **a**, Pressure–volume ( $P$ – $V$ ) curves normalized to unity at total lung capacity.  $\alpha = 0$ , normal  $P$ – $V$  of a lung without collapsed regions<sup>4</sup>.  $\alpha = 1$ ,  $P$ – $V$  for a collapsed lung<sup>6</sup> where recruitment of volume is proportional to  $P^N$  for  $P < 0.75$ .  $\alpha = 0.3$ , weighted average of the two limiting cases. **b**, Normalized  $P$ – $V$  curve of a collapsed region (case  $\alpha = 1$  from **a**).  $P_1$ , end-expiratory pressure;  $P_2$ , end-inspiratory pressure;  $V_2$ , corresponding recruited volume. When noise (s.d.=0.075) is added to  $P_2$ , average opened volume increases from  $V_2 = 0.15$  to  $V_3 = 0.363$ . **c**, Predicted arterial blood oxygen partial pressure  $pO_2$  as a function of the s.d. of the gaussian around  $P_2 = 0.7$ .  $pO_2$  data obtained by calculating and averaging 1,000 normalized compliance values,  $C$ , which, using ref. 3 data, we relate to  $pO_2$  ( $pO_2 = 2.8C + 6$ ).

output signal-to-noise ratio; however, further increasing the standard deviation will have the opposite effect. The output signal in our case is the arterial  $pO_2$ . When small noise is added to  $P_2$ , the surface area for gas exchange, and hence arterial  $pO_2$ , increases.

Increasing the noise amplitude too much may adversely affect the arterial  $pO_2$ . For example, as we gradually increase the standard deviation of the gaussian noise along the S-shaped nonlinearity curve ( $\alpha = 0.3$  in Fig. 1a), we find that the normalized compliance,  $C$  (defined as  $V_T/(P_{ins} - P_1)$ , where  $P_{ins} = P_2 + \eta$ , and  $V_T$  is the volume inspired per breath (corresponding to  $P_{ins} - P_1$ ), displays a maximum.

As  $C$  is linearly related to arterial  $pO_2$  in lung injury<sup>3</sup> (probably because the collapse of lung regions leads to proportional changes

in the area available for gas exchange), our model predicts that there is an optimum standard deviation at which  $pO_2$  also displays a maximum (Fig. 1c). So the possibility of tuning noise for optimal gas exchange in mechanical ventilation arises, from the presence of a nonlinearity due to the competing effects of recruitment of alveoli via avalanches<sup>8</sup> (causing  $C$  to increase) and the gradual stiffening of the overinflated parenchymal tissues<sup>4</sup> (causing  $C$  to decrease).

As well as offering immediate improvement in gas exchange, noise may have long-term benefits for patients with acute lung injury and respiratory failure because, without requiring increased mean airway pressures, fewer alveolar regions will remain collapsed. This is significant, as high airway pressures cause mechanical failure of pulmonary microvasculature<sup>9</sup>, and high shear forces on the alveolar walls increase the level of inflammation which can further propagate the inflammatory response within the alveolar compartment<sup>10</sup>. So including appropriately designed noise in mechanical ventilators will improve gas exchange and could have a significant effect on morbidity by breaking the chain of injury propagation in acute lung injury.

**B. Suki, A. M. Alencar, M. K. Sujeer, K. R. Lutchen, J. J. Collins**

Department of Biomedical Engineering, Boston University, Boston, Massachusetts 02215, USA  
e-mail: bs@enga.bu.edu

**J. S. Andrade, Jr**

Departamento de Física, Universidade Federal do Ceará, 60451-970 Fortaleza, Ceará, Brazil

**E. P. Ingenito**

Brigham and Women’s Hospital, Harvard Medical School, Boston, Massachusetts 02115, USA

**S. Zapperi, H. E. Stanley**

Department of Physics, Boston University, Boston, Massachusetts 02215, USA

1. Dreyfuss, D., Soler, P., Basset, G. & Saumon, G. *Am. Rev. Resp. Dis.* **137**, 1159–1164 (1988).
2. Dejours, P., Puccinelli, R., Armand, J. & Dicharry, M. *Respir. Physiol.* **1**, 265–280 (1966).
3. Lefevre, G. R., Kowalski, S. E., Girling, L. G., Thiessen, D. B. & Mutch, W. A. C. *Am. J. Respir. Crit. Care Med.* **154**, 1567–1572 (1996).
4. Salazar, E. & Knowles, J. H. *J. Appl. Physiol.* **19**, 97–104 (1964).
5. Cheng, W., DeLong, D. S., Franz, G. N., Petsonk, E. L. & Frazer, D. G. *Respir. Physiol.* **102**, 205–215 (1995).
6. Sujeer, M. K. *et al. Phys. Rev. E* **56**, 3385–3394 (1997).
7. Wiesenfeld, K. & Moss, F. *Nature* **373**, 33–36 (1995).
8. Suki, B., Barabási, A. L., Hantos, Z., Peták, F. & Stanley, H. E. *Nature* **368**, 615–618 (1994).
9. Costello, M. L., Mathieu-Costello, O. & West, J. B. *Am. Rev. Resp. Dis.* **145**, 1446–1455 (1992).
10. Tremblay, L., Valenza, F., Ribeiro, S. P., Li, J. & Slutsky, A. S. *J. Clin. Invest.* **99**, 944–952 (1997).

**correction**

In ‘What’s so special about figs?’ (*Nature* **392**, 668; 1998) the values given in Table 1 for copper, iron, manganese and zinc should have been expressed as  $\mu\text{g}$  per g dry matter. Also, in ref. 1, the first author’s name should read ‘Conklin, N. L.’